

SOME COEFFICIENT ESTIMATES FOR H^p FUNCTIONS

CATHERINE BENETEAU AND BORIS KORENBLUM

Abstract. We find the maximum modulus of the n -th Taylor coefficient c_n of a function in the unit ball of H^p , $1 < p < \infty$; provided that c_0 is fixed, and identify the corresponding extremal functions.

1. Introduction

and c such that $0 < c < 1$: In the following sections, we consider only such values of p and c . In proving the main result, we prove some intermediate theorems which are of independent interest.

3. Statement of the Main Results

Theorem 3.1. *If $2^{i \frac{1}{p}} \cdot c < 1$; then*

$$M_p(n; c) = \frac{2}{p} c^{1 + \frac{p}{2}} \frac{1}{1 - c^p}$$

and the corresponding extremal function is

$$f(z) = (c^{\frac{p}{2}} + \frac{1}{1 - c^p} z^n)^{\frac{2}{p}};$$

Theorem 3.2. *If $0 < c < 2^{i \frac{1}{p}}$; then the zero-free function f such that $\|f\|_p = 1$ and $zf'(0) = c$ that maximizes $|f^{(j)}(0)|$ is*

$$f(z) = 2^{i \frac{1}{p}} (1 + z)^{\frac{2}{p}} (2^{\frac{1}{p}} c)^{\frac{1-z}{1+z}}$$

and

$$f^{(j)}(0) = c \left(\frac{2}{p} + \log \frac{1}{2^{\frac{2}{p}} c^2} \right);$$

Theorem 3.3. *If $0 < c < 2^{i \frac{1}{p}}$; then*

$$M_p(n; c) = \left(\frac{2}{p} + 1 \right) cv + \frac{c}{v}$$

and the corresponding extremal function is

$$f(z) = \frac{c}{v} (1 + vz^n)^{\frac{2}{p}} (v + z^n)$$

where v is the unique root ($0 < v < 1$) of $v^p + c^p = c^p v^2$: In particular, for $p = 1$ and $0 < c < \frac{1}{2}$; $M_1(n; c) = \frac{1}{1 - c^2}$ and $f(z) = c + z^n + cz^{2n}$:

4. ():

Proposition 4.2. *The singular function $S(z)$ that maximizes $\operatorname{Re}S^d(0)$ if $S(0) = c$ is*

$$S(z) = c^{1-z}$$

We now have a variational problem, where we need to find

$$\max \frac{1}{2^{1/4}} \int_{i^{-1/4}}^{i^{1/4}} \rho'(t) \cos t dt$$

under the constraints $\frac{1}{2^{1/4}} \int_{i^{-1/4}}^{i^{1/4}} e^{\rho'(t)} dt = 1$ and $\frac{1}{2^{1/4}} \int_{i^{-1/4}}^{i^{1/4}} \rho'(t) dt = \log c < 0$:

If this maximum equals ρ' and is attained when $\rho'(t) = \rho'_0(t)$; then ρ'_0 also solves the following dual variational problem: find

$$\min \frac{1}{2^{1/4}} \int_{i^{-1/4}}^{i^{1/4}} e^{\rho'(t)} dt$$

under the constraints $\frac{1}{2^{1/4}} \int_{i^{-1/4}}^{i^{1/4}} \rho'(t) \cos t dt = \rho'$ and $\frac{1}{2^{1/4}} \int_{i^{-1/4}}^{i^{1/4}} \rho'(t) dt = \log c$; because the above minimum is then equal to 1. To see this, suppose that for some $\rho'(t) = \rho'_1(t)$ satisfying the constraints of the dual problem $\frac{1}{2^{1/4}} \int_{i^{-1/4}}^{i^{1/4}} e^{\rho'_1(t)} dt < 1$: Then there is some $s > 0$ such that the function $\rho'_2(t) = \rho'_1(t) + s \cos t$ satisfies $\frac{1}{2^{1/4}} \int_{i^{-1/4}}^{i^{1/4}} \rho'_2(t) \cos t dt >$

Therefore,

$$\begin{aligned} \phi'(v) &\leq 0, & c^{1-\frac{p}{2}} \rho \frac{v^{p-1}}{v^p + c^p} &\leq 1 + \frac{1}{v^2} \\ & & (1+v^2)^2 (c^p)^2 &\leq v^p (1+v^2)^2 c^p + v^{2(p+1)} \leq 0 \\ & & (c^p + \frac{v^p}{1+v^2}) (c^p + \frac{v^{2+p}}{1+v^2}) &\leq 0 \end{aligned}$$

When $c \leq 2^{1-\frac{1}{p}}$; $\phi'(v) \leq 0$ and the maximum of $\phi(v)$ is obtained at $v = 1$:

$$\phi(1) = \frac{2}{p} c^{1-\frac{p}{2}} \rho \frac{1}{1+c^p}.$$

In that case, the function

$$f(z) = (c^{\frac{p}{2}} + \rho \frac{1}{1+c^p} z^{\frac{2}{p}})^{\frac{2}{p}}$$

is an element of H^p with norm 1 such that $f(0) = c$ and $f'(0) = \phi(1)$:

When $n > 1$; use the function f described in Section 2. Since $f(z) = f(z^n)$; we obtain the extremal function

$$f(z) = (c^{\frac{p}{2}} + \rho \frac{1}{1+c^p} z^n)^{\frac{2}{p}}$$

with the same maximal n -th Taylor coefficient as in the case $n = 1$: \square

Notice that f is a zero-free function, and therefore Theorem 3.1 also solves the extremal problem for zero-free H^p functions whose value at the origin is not too close to 0. Let us now consider zero-free functions in H^p whose value at the origin are small, as stated in Theorem 3.2.

Proof. Let $0 < c < 2^{1-\frac{1}{p}}$ and let $f \in H^p$ be a non-zero function such that $f(0) = c$ and $\|f\|_p = 1$ for which $|f'(0)|$ is maximal. Write $f(z) = S(z)F(z)$ where S is a singular function and F is an outer function. Writing $S(0) = u$ and $F(0) = v$; notice that by Proposition 4.3, $v \leq 2^{1-\frac{1}{p}}$. Using the estimates given by Proposition 4.2 and Theorem 3.1, we get that

$$\begin{aligned} |f'(0)| &\leq v 2u \log \frac{1}{u} + u \frac{2}{p} v^{1-\frac{p}{2}} \rho \frac{1}{1+v^p} \\ &= 2c \log \frac{v}{c} + \frac{2c}{p} \sqrt{\frac{1}{v^p} - 1} \\ &= \phi(v) \end{aligned}$$

One can easily show that $\phi(v)$ is decreasing on $[2^{1-\frac{1}{p}}; 1]$ and therefore attains its maximum at $v = 2^{1-\frac{1}{p}}$. Therefore $u = c 2^{\frac{1}{p}}$; and the function

$$f(z) = (2^{\frac{1}{p}} c)^{\frac{1-z}{1+z}} 2^{1-\frac{1}{p}} (1+z)^{\frac{2}{p}}$$

is a zero-free function such that $f(0) = c$; $\|f\|_p = 1$ and

$$f'(0) = c \left(2^{i \frac{1}{p}} \right) = c \left(\frac{2}{\rho} + \log \frac{1}{2^{\frac{2}{p}} c^2} \right);$$

□

We now consider functions in H^p that can have zeros and whose value at the origin is small, as stated in Theorem 3.3.

Proof. Consider the case $n = 1$ and let $f \in H^p$ be such that $\|f\|_p = 1$ and $f(0) = c$. Write $f(z) = B(z)F(z)$ where B is a Blaschke product with $B(0) = v > 0$; and F is zero-free with $F(0) = u$:

Suppose first that $u \leq 2^{i \frac{1}{p}}$. Then $c = v \cdot 2^{\frac{1}{p}} c$; so by the proof of Theorem 3.1

$$\begin{aligned} |f'(0)| &= c \left(\frac{1}{v} + \log \frac{1}{v^2} \right) + \frac{2}{\rho} c^{1+i \frac{p}{2}} \frac{\rho}{v^p} \\ &= c'(v) \end{aligned}$$

and

$$|f'(v)| \leq c \left(c^p + \frac{v^p}{1+v^2} \right) \left(c^p + \frac{v^{2+p}}{1+v^2} \right) \leq 0$$

6. I. Li^oyand, *private communication*.

Department of Mathematics and Computer Science, Seton Hall University, South Orange, New Jersey, 07079

E-mail address: beneteca@shu.edu

Department of Mathematics, The University at Albany, Albany, New York, 12222

E-mail address: bori.sko@math.albany.edu